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Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

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Block coding for multilevel data communication

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BLOCK CODING FOR MULTILEVEL DATA COMMUNICATION

The present invention relates to block coding methods and apparatus for multilevel data communication.

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In many communication systems, including both wired and wireless transmission systems, there are strict limitations on transmit signal bandwidth. Such limitations impose a demand for signal modulation with a number of levels greater than two. Many conventional systems employ Trellis-coded modulation (TCM) in such applications.

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There is a growing demand for communication systems, including both wired and emerging wireless transmission systems, that require modulation to be accomplished with a number of levels greater than two, mainly due to strict limitations on transmit signal bandwidth. Trellis-coded modulation (TCM) is an example of a conventional modulation scheme for
15 such applications. However, a problem associated with TCM is that it is unsuitable for iterative decoding. Therefore, further improvements in signal quality at an acceptable complexity are difficult to achieve.

"A turbo TCM scheme with low decoding complexity," Catena Netwoks Inc., Temporary
20 Document BI-090, ITU-T Study Group 15, Question 4, Goa, India, 23-27 Oct. 2000, "Proposal of decision making for turbo coding and report of performance evaluation of proposed TTCM(PCCC) with R-S code and without R-S code," Mitsubishi Electric Corp., Temporary Document BI-003, ITU-T Study Group 15, Goa, India, 23-27 Oct. 2000, and "Results of the requirements requested in the coding ad hoc report," Vocal Technologies Inc.,
25 Temporary Document HC-073, ITU-T Study Group 15, Question 4, Huntsville, Canada, 31 July - 4 August 2000, describe turbo-coding schemes for multilevel ADSL and VDSL transmission. These turbo-coding techniques involve encoding of the information bits by parallel concatenation of convolutional encoders in recursive systematic form and iterative decoding by one of several possible turbo-decoding techniques. "Block product turbo codes
30 for G.dmt.bis and G.lite.bis," Globespan Inc., Temporary Document BA-063, ITU-T Study Group 15, Question 4, Antwerp, Belgium, 19-23 June 2000 describes the application of block product codes using component Bose-Chaudhuri-Hoequenghem (BCH) codes and their soft

iterative decoding based on the Chase algorithm. These techniques offer some performance enhancements over Trellis coding at the expense of incurring additional complexity.

Another coding technique uses Low Density Parity Check (LDPC) block codes. As indicated
5 in R. G. Gallager, "Low-density parity-check codes," *IRE Trans. Info. Theory*, vol. IT-8, pp. 21-28, Jan. 1962, D. J. C. MacKay and R. M. Neal, "Near Shannon limit performance of low density parity check codes, *Electron. Lett.*, vol. 32, no. 18, pp. 1645-1646, Aug. 1996, D. J. C. MacKay, "Good error-correcting codes based on very sparse matrices," *IEEE Trans. on Inform. Theory*, vol. 45, No. 2, pp. 399-431, Mar. 1999, and FOSSORIER, M.P.C.,
10 MIHALJEVIC, M., and IMAI, H.: "Reduced complexity iterative decoding of low density parity check codes based on belief propagation", IEEE Trans. Commun., 1999, 47, (5), pp. 673-680 , coded modulation using LDPC codes has to date focussed on applications requiring binary modulation such as wireless systems or digital magnetic recording.

15 K. R. Narayanan and J. Li, "Bandwidth efficient low density parity check coding using multilevel coding and interative multistage decoding," Proc. Int. Symp. on Turbo-Codes, Brest, France, pp. 165-168, Sept. 2000 describes a multilevel coding technique based on binary LDPC block codes. This technique uses LDPC block codes for bit-interleaved modulation or for multilevel coding with iterative multi-stage decoding. For bit-interleaved
20 LDPC modulation according to this technique, all the bits used to select a multilevel symbol are LDPC code bits. For multilevel coding, several LDPC block codes are used as component codes in a multilevel scheme. This technique has the drawback of requiring more than one LDPC encoder/decoder, leading to substantial implementation complexity especially for long codes and/or large constellation sizes.
25

"Low density parity check coded modulation for ADSL," Aware Inc., Temporary Document BI-081, ITU-T Study Group 15, Question 4, Goa, India, 23-27 October 2000 also describes a multilevel coding technique based on binary LDPC block codes. This technique is similar to TCM, except that LDPC coding is employed instead of convolutional coding. In particular,
30 set partitioning follows the same principle as that used in TCM. This technique has the drawback of requiring an additional Bose-Chaudhuri-Hoeguenghem (BCH) code which adds to system complexity. Also, set partitioning, as required in TCM and similar schemes, leads to poor performance for soft-decision based decoding techniques.

In accordance with the present invention, there is now provided a method for multilevel data communication comprising: dividing a set of information bits to be transmitted into a first group and a second group; encoding the first group to generate a block code ; selecting a

5 subset of symbols in a constellation of symbols in dependence on the block code according to a Gray-coded mapping function; selecting a symbol within the subset in dependence on the second group according to a Gray-coded mapping function; and, transmitting the selected symbol.

10 Preferred embodiments of the present invention further comprise receiving the selected symbol and recovering the set of information bits from the selected symbol. The recovering of the set of information bits may comprise soft demapping the received symbol to generate a probability for each of the bits represented in the symbol to have a particular value and decoding the received symbol to recover the set of information bits in dependence on the

15 probabilities generated by the soft demapping and the received symbol.

Viewing the present invention from another aspect, there is now provided apparatus for multilevel data communication, the apparatus comprising: a divider for dividing a set of information bits to be transmitted into a first group and a second group; a block encoder

20 connected to the divider for encoding the first group to generate a block code; and, a symbol mapper connected to the divider and the block encoder for selecting a subset of symbols in a constellation of symbols in dependence on the block code according to a Gray-coded mapping function, selecting a symbol within the subset in dependence on the second group according to a Gray-coded mapping function, and transmitting the selected

25 symbol.

Preferred embodiments of the present invention comprise a receiver for receiving the selected symbol and recovering the set of information bits from the selected symbol. The receiver may comprise: a soft demapper for demapping the received symbol to generate a probability for

30 each of the bits represented in the symbol to have a particular value and a decoder for decoding the received symbol to recover the set of information bits in dependence on the probabilities generated by the soft demapping and the received symbol.

The present invention also extends to a communications device comprising an information source for generating a set of information bits and apparatus for multilevel data transmission as herein before described connected to the information source for transmitting the set of the information bits.

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The first group may comprise least significant bits of the set of information bits and the second group comprises most significant bits of the set of information bits. Alternatively, the first group may comprise most significant bits of the set of information bits and the second group comprises least significant bits of the set of information bits.

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The present invention advantageously offers superior performance in terms of achievable coding gains. This is because, block coding schemes can be decoded iteratively, thereby leading to substantial performance gains as compared to trellis-coded modulation. Particularly preferred embodiments of the present invention comprise multilevel encoding schemes based on LDPC codes or simple product codes that do not need interleaving and that can be decoded via the simple sum-product algorithm (SPA) or low-complexity derivatives thereof. LDPC codes provide an increase the Signal to Noise Ratio (SNR) gains. Turbo codes may also be employed. In general, turbo codes are decoded in an iterative fashion utilizing a complex soft-input soft-output Bahl-Cocke-Jellinek-Raviv (BCJR) algorithm or sub optimal versions thereof. However, in comparison with turbo codes, LDPC codes exhibit asymptotically a superior performance without suffering from "error floors" and admit a wide range of tradeoffs between performance and decoding complexity. Therefore, LDPC codes are preferred. However, it will be appreciated that the present invention is equally applicable to other classes of block codes, such as product codes and repeat-accumulate codes.

25

Multilevel modulation using LDPC codes can be addressed either by use of binary LDPC codes or by use of non binary LDPC codes. However, the latter approach requires higher implementation complexity than the former. Particularly preferred embodiments of the present invention to be described shortly implement coded multilevel modulation based on binary LDPC codes.

Viewing the present invention from yet another aspect, there is provided a multilevel coding scheme for block codes that uses a combination of block-encoded bits and uncoded bits in

selecting multilevel symbols. The advantage of allowing for uncoded bits in the mapping function is increased flexibility, particularly in selecting the size of the QAM symbol constellations. Another advantage is additional performance gain due to high spectral efficiency. Irrespective of the block code employed, encoding the 4 LSBs of the transmitted

5   2D symbols is sufficient to achieve acceptable performance for all constellations of size greater than 16.

In an especially preferred embodiment of the present invention, there is provided a carrier transmission method comprising partially block-coded multilevel transmission and iterative

10 decoding. The method is applicable to both single carrier and multicarrier systems. Because, in accordance with the present invention, interleaving can be avoided, the present invention is particularly applicable to systems requiring low encoding latency.

Preferred embodiments of the present invention will now be described, by way of example

15 only, with reference to the accompanying drawings, in which:

Figure 1 is a block diagram of a communication system embodying the present invention;

Figure 2 is a block diagram of a transmitter of the communication system;

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Figure 3 is a block diagram of a receiver of the communication system;

Figure 4 is a graph of symbol-error probability versus SNR_{nom} for a 64-QAM communication system embodying the present invention;

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Figure 5 is a graph of symbol-error probability versus SNR_{nom} for a 4096-QAM communication system embodying the present invention; and,

Figure 6 is a graph demonstrating the performance of an example of an LLR-SPA for an

30 additive white Gaussian noise channel.

Referring first to Figure 1, a preferred embodiment of the present invention comprises a transmitter 10 connected to a receiver 20 via a communication channel 30. In operation, the

transmitter 10 receives a sequence of information bits 50 from an information source 40. The transmitter converts the information bits 50 into multilevel symbols 60 for transmission to the receiver via the communication channel 30. The multilevel symbols 60 are of a complex form having a real part and an imaginary part. The communication channel 30 introduces noise to

5   the multilevel symbols 100 to produce a flow of noisy multilevel symbols 70 into the receiver 20. The receiver then serially recovers the information bits from the received symbols 70. The recovered information bits 80 are then supplied to a recipient system (not shown).

Referring now to Figure 2, the transmitter 10 comprises a divider 100, a block encoder 110

10 and a symbol mapper 120. In operation, at each modulation instant, the divider 100 divides a set of information 50 bits from the information source 40 to be communicated to the receiver 20 into a first group and a second group. The block encoder 110 encodes the first group to generate a block code. The symbol mapper 120 connected to the divider and the block encoder for selecting a subset of symbols in a constellation of symbols in dependence on the

15 block code according to a Gray-coded mapping function and for selecting a symbol within the subset in dependence on the second group according to a Gray-coded mapping function. Multilevel symbols 60 thus generated by the symbol mapper 120 are communicated to the receiver 20 via the communication channel 30. The divider 100 may implemented by a shift register or similar logical function.

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With reference to Figure 3, the receiver 20 comprises a multilevel decoder 140 and a soft demapper 130. In operation, the noisy multilevel symbols 70 are soft demapping by the soft demapper 130 to provide soft information on individual code bits in the form of *a posteriori* probabilities 150. The probabilities 150 are employed at the multilevel decoder 140 to carry

25 out an LDPC decoding procedure comprising a Sum-Product Algorithm (SPA) for recovering the information bits from the received symbols 70. The recovered information bits 90 are then supplied to a recipient system.

Referring back to Figure 1, it will be appreciated that the transmitter 10 and receiver 20 may

30 be implemented by hardwired logic, by a general purpose processor or dedicated digital signal processor programmed with computer program code, or by hardwired logic and computer program code in combination. In will also be appreciated that the functions of transmitter 10

7

CH9-2000-0087

and receiver 20 may be integrated in a unitary device 160 such as an application specific integrated circuit (ASIC) transceiver device.

When the symbol constellation employed in the symbol mapper 120 is a square QAM
5 constellation (i.e., b is even), and provided that the in-phase and quadrature components of the noise at the input of the soft demapper 130 are independent, soft demapping can be achieved independently for the real and imaginary parts of the complex symbols received. The computational complexity of soft demapping is substantially reduced in comparison with joint demapping of real and imaginary signals jointly. Square QAM constellations will
10 therefore be considered for the purposes of this explanation. However, extensions to cover other types and shapes of constellations can easily be derived. It will thus suffice to describe multilevel LDPC encoding and decoding for L-ary PAM (L = 2) with the symbol alphabet

$$\overset{\circ}{A} = \{A_0 = -(L-1), A_1 = -(L-3), ..., A_{L/2-1} = -1, A_{L/2} = +1, ..., A_{L-1} = +(L-1)\}. \quad (1)$$

15

Each symbol in the set $\overset{\circ}{A}$ is labeled with a binary b-tuple $(x_{b-1}, x_{b-2}, ..., x_1, x_0)$. The b_c least significant bits (LSBs) $(x_{b_c-1}, x_{b_c-2}, ..., x_1, x_0)$ label subsets of the set $\overset{\circ}{A}$. The subsets $\overset{\circ}{A}_i, i = 0, 1, ..., 2^{b_c} - 1$ are obtained by partitioning $\overset{\circ}{A}$ so as to maximize the minimum Euclidean distance between the symbols within each subset. The $b_u = b - b_c$ most significant bits
20 (MSBs) $(x_{b-1}, x_{b-2}, ..., x_{b-b_u+1}, x_{b-b_u})$ label the symbols within a subset. Furthermore, the b_c LSBs and b_u MSBs each follow a Gray coding rule. Table 1 below gives an example of symbol labeling and mapping for the case $L = 16$. Note that the symbol mapping obtained by this approach is different from the one used in conventional trellis-coded modulation. A description of conventional trellis-coded modulation is provided in G. Ungerboeck, "Channel
25 coding with multilevel/phase signals," IEEE Trans. on Information Theory, Vol. IT-28, No. 1, pp. 55-67, Jan. 1982.

8

CH9-2000-0087

L-ary symbol	x_3	x_2	x_1	x_0	Subset number
+15	0	0	0		0
+13	0	0	0		1
+11	0	0	1		2
+9	0	0	1		3
+7	0	1	0		0
+5	0	1	0		1
+3	0	1	1		2
+1	0	1	1		3
-1	1	1	0		0
-3	1	1	0		1
-5	1	1	1		2
-7	1	1	1		3
-9	1	0	0		0
-11	1	0	0		1
-13	1	0	1		2
-15	1	0	1		3

Table 1: Example of symbol labeling for the case $L = 16$, with $b_u = 2$ and $b_c = 2$.

With the above labeling, an L-ary symbol is used to convey b_c LDPC code bits and b_u uncoded information bits. If coding is achieved with a binary (N,K) LDPC code with K being the information block length and N being the code length, then this mapping technique results in a spectral efficiency of

$$\eta = \frac{K}{N} b_c + b_u \text{bits/s/Hz} \tag{2}$$

Decoding of the LDPC-coded signals is achieved in two steps: in the first step, LDPC decoding is performed for the sequence of least significant b_c bits and in the second step the sequence of b_u uncoded bits is estimated.

Denoting by y the received real signal (corresponding, in general, to the real or imaginary part of the received complex signal):

$$y = A + n \tag{3}$$

With $A \in \mathring{A}$ and n an AWGN sample with variance σ_n^2, the *a posteriori* probability (APP) that bit $x_\lambda, \lambda = 0, 1..., b_c - 1$, is zero (alternately one) is computed as:

$$\Pr(x_\lambda = 0 \mid y) = \frac{\sum_j e^{-\frac{(y-A_j)^2}{2\sigma_n^2}}}{\sum_j^{L-1} e^{-\frac{(y-A_j)^2}{2\sigma_n^2}}}, \tag{4}$$

Where the summation in the numerator is taken over all symbols $A_j \in \mathring{A}$ for which $x_\lambda = 0$. Iterative LDPC decoding is achieved by the sum-product algorithm (SPA) using the above APPs.

In the second decoding step, the b_u MSBs are estimated for each received signal by first determining a subset $\mathring{A}_i$ based on the recovered LDPC code bits and then making a minimum Euclidean distance symbol-decision within this subset. This second decoding step therefore involves a relatively low implementation complexity.

To illustrate the performance that can be achieved with the multilevel modulation technique herein before described transmission is considered over an AWGN channel using 64-QAM and 4096-QAM. The results are presented in terms of symbol-error rate versus the normalized signal-to-noise ratio (SNR_{norm}) defined as

$$SNR_{norm} = \frac{\eta}{2^\eta - 1} \frac{E_b}{N_o} \tag{5}$$

where E_b/N_0 is the ratio of energy-per-bit to noise-power-spectral-density. The (1998,1777) code used in the simulations is due to MacKay.

The graph of Figure 4 is based on 64-QAM ($b = 3$ along each dimension) and shows performance for the cases where $b_u = 0$ (no uncoded bits) and $b_u = 1$ (2 uncoded bits per 2D symbol).

Figure 5 shows the effect of introducing uncoded bits for 4096-QAM ($b = 12$ along each dimension) by plotting system performance with 0, 4, 6, 8, and 10 uncoded bits per 2D symbol ($b_u = 0, 2, 3, 4,$ and 5, respectively).

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CH9-2000-0087

Figures 4 and 5 demonstrate that it is generally sufficient to encode two LSBs only to achieve acceptable performance.

Decoder complexity can be reduced in various ways. For example, not all the L terms need to

5   be included in the sum appearing in the denominator of Equation (4): if for a received signal y the closest $L' < L$ nominal levels are determined the summation can be modified to include these L' levels only. The resulting loss in performance is usually very small. A similar approach can be taken for the numerator term. Furthermore, messages passed between the nodes in the SPA need not be a posteriori probabilities but can be likelihood or log-likelihood

10   ratios. Various simplifications of the SPA can be adopted for different implementations depending on specific applications.

The multilevel techniques herein before described are suitable for use in multicarrier modulation systems. Examples of such systems include discrete multitone modulation

15   systems and filtered multitone modulation systems such as ADSL, ADSL lite and VDSL systems. In multicarrier modulation, as each carrier adapts the spectral efficiency of its transmission to the channel characteristics, the employed multilevel symbol constellation can vary from one carrier to the next. Coding is not performed separately for each subchannel but rather "across" subchannels. Therefore, the provision of uncoded bits allows multilevel

20   coding to be achieved in a very flexible way because different constellation sizes can be accomodated efficiently.

The underlying error-correcting code need not be limited to LDPC codes. Other types of block codes can also be employed. For example, the family of repeat-accumulate codes

25   described in D. Divsalar, H. Jin, and R.J. McEliece, "Coding theorems for 'turbo-like' codes," Proc. 36th Allerton Conf. on Communications, Control, and Computing, Allerton, Illinois, pp. 201-210, Sept. 1998, and H. Jin, A. Khandekar, and R. McEliece, "Irregular Repeat-Accumulate Codes," Proc. Int. Symp. on Turbo-Codes, Brest, France, pp. 1-8, Sept. 2000, which can be understood as a particular form of LDPC codes, can be also used.

30   Similarly, array codes can be employed. When array codes are viewed as binary codes, their parity check matrices exhibit sparseness which can be exploited for decoding them as LDPC codes using the SPA or low complexity derivatives thereof. Array codes are described further

CH9-2000-0087

in M.Blaum, P.Farrell, and H.van Tilborg, "Array codes", in Handbook of Coding Theory, V.S Pless and W.C.Huffman Eds., Elsevier 1998.

As mentioned earlier, LDPC codes can be decoded at the receiver 20 via the sum-product
5 algorithm (SPA). The SPA is described in the aforementioned reference D. J. C. MacKay, "Good error-correcting codes based on very sparse matrices," *IEEE Trans. on Inform. Theory,* vol. 45, No. 2, pp. 399-431, Mar. 1999. The SPA operates on a bipartite graph associated with a given sparse parity check matrix H having M rows and N columns. This graph has two types of nodes: N symbol nodes corresponding to each bit in a code word x, and M check
10 nodes corresponding to the parity checks $pc_m(x)$, $1 \le m \le M$, represented by the rows of the matrix H. Each symbol node is connected to the check nodes it participates in, and each check node is connected to the symbol nodes it checks. The SPA operates by passing messages between symbol nodes and check nodes. The messages themselves can be *a posterioiri* probabilities (APP) or log likelihood ratios (LLRs). Typical message parsing schedules
15 alternately compute updates of all symbol nodes and of all check nodes.

The computational complexity of the SPA is governed by the check node updates. In the probability domain, such computation involves the summation of the product terms each involving a plurality of probabilities. In the log domain, the check node updates require
20 computation of the inverse hyperbolic tangent of a product of hyperbolic tangent functions of LLRs. Mackay demonstrated via computational simulations that there is a loss in performance of approximately 0.2dB associated with such conventional techniques. This performance loss can be substantial in terms of block and symbol error rates because of the steepness of the error curves of LDPC codes. An SPA having a substantially reduced complexity but without
25 incurring a loss in performance would be clearly desirable..

In a preferred embodiment of the present invention, an approximate check node update is based on a difference-metric approach on a two state trellis. This approach employs a dual max. approximation. The aforementioned Fossorier reference describes an example of a dual
30 max. approximation. The approach can be thought of as similar to a Viterbi algorithm on a two state parity check trellis. The approach uses the difference of state metrics, i.e., the difference of logs, which is the LLR of the probabilities. The approach is recursive and requires one sign bit manipulation and one comparison at a time. This greatly simplifies

12

computational implementation and facilitates parallel recursive operation in a general purpose Digital Signal Processor (DSP) environment, or in an application specific integrated circuit (ASIC) or similar custom logic design.

5   In a particularly preferred embodiment of the present invention, the performance of the algorithm is improved by introduction of a correction factor. The correction factor involves the addition of a constant at every recursive step. The added constant can be viewed as a fixed offset with the appropriate polarity. The addition does not significantly increase computational complexity. It is found that the correction factor bring the performance of the

10 algorithm to within 0.05dB of the performance of the full SPA.

In a preferred embodiment of the present invention to be described shortly, there is provided a soft input/output detection method for decoding LDPC codes by exchanging reliability information between the soft demapper 130 and the multilevel decoder 140 in an iterative

15 fashion. This decoding method advantageously delivers similar performance to that of full SPA, but with considerably reduced complexity. The encoded data is demapped into soft bits prior to LDPC decoding. LDPC codes can be decoded in an iterative fashion via a complex soft input/output algorithm in a manner which is computationally simpler than that conventionally employed for decoding turbo codes. Also, as mentioned earlier, LDPC codes

20 exhibit asymptotically an excellent performance without "error floors". Further, LDPC codes offer a range of tradeoffs between performance and decoding complexity.

Following the notation employed in the aforementioned Mackay and Fossosier references, let $N(m) = \{n : H_{m,n} = 1\}$ be the set of bits that participate in check m, and let

25 $M(n) = \{m : H_{m,n} = 1\}$ be the set of checks in which bit n participates. The exclusion of an element n from $N(m)$ or m from $M(n)$ is denoted by $N(m)\backslash n$ or $M(n)\backslash m$, respectively, and H^T is the transpose of H. Finally, let $\underline{y} = [y_1, ..., y_N]$ be the received sequence that corresponds to the transmitted codeword $\underline{x} = [x_1, ..., x_N]$. The inputs of the SPA consist of LLRs $\ln(P(x_n = 1| y_n)/P(x_n = 0| y_n))$ or, equivalently, of APPs $P(x_n = 1| y_n)$ and $P(x_n = 0| y_n)$,

30 which are determined by the channel statistics. Operation of the SPA then proceeds in the following steps:

13   CH9-2000-0087

Initialization: $q_{m,n}(x) = P(x_n = x \mid y_n)$ for $x = 0, 1$.

Step 1 (check-node update): For each m and $n \in N(m)$, and for $x = 0, 1$, compute

$$r_{m,n}(x) = \sum_{\{x_{n'}: n' \in N(m)\backslash n\}} P(pc_m(\underline{x}) = 0 \mid x_n = x, \{x_{n'} : n' \in N(m)\backslash n\}) \prod_{n' \in N(m)\backslash n} q_{m,n'}(x_{n'}),$$

where the conditional probability in the summation is an indicator function that indicates whether the m-th check-sum is satisfied given the hypothesized values for x_n and $\{x_{n'}\}$.

Step 2 (symbol-node update): For each n, and $m \in M(n)$, and for $x = 0, 1$, update

$$q_{m,n}(x) = \mu_{m,n} P(x_n = x \mid y_n) \prod_{m' \in M(n)\backslash m} r_{m',n}(x),$$

where the constant $\mu_{m,n}$ is chosen such that $q_{m,n}(0) + q_{m,n}(1) = 1$.

For each n and for $x = 0, 1$, update the "pseudoposterior probabilities" $q_n(.)$ as

$$q_n(x) = \mu_n P(x_n = x \mid y_n) \prod_{m \in M(n)} r_{m,n}(x), \tag{6}$$

where the constant μ_n is chosen such that $q_n(0) + q_n(1) = 1$.

Step 3: (a) Quantize $\hat{\underline{x}} = [\hat{x}_1, \ldots, \hat{x}_N]$ such that $\hat{x}_n = 1$ if $q_n(1) > 0.5$, and $\hat{x}_n = 0$ if $q_n(1) \leq 0.5$.

(b) If $\hat{\underline{x}} H^T = \underline{0}$, then stop and $\hat{\underline{x}}$ is the decoder output; otherwise go to Step 1.

(c) Declare a failure if the algorithm does not halt within some maximum number of iterations.

In a preferred embodiment of the present invention, LLRs are employed as messages in place of APPs. This permits replacement of the multiplications in Step 2 of the SPA with additions.

14

CH9-2000-0087

Step 3 can also be easily adapted for LLRs. Advantageously, LLRs can also be efficiently used in Step 1 without converting between LLRs and APPs.

<u>Simplified Sum-Product Step Using Log-Likelihood Ratios:</u>

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In general, each check-sum $pc_m(\underline{x})$ can be viewed as a single-parity check code on the $k = |N(m)|$ symbols it checks. The node messages $r_{m,n}(x)$ of Step 1 can be regarded as extrinsic information for x_n given the statistics $q_{m,n}(.)$. These messages can be computed by the forward-backward algorithm proposed by Mackay on the two-state trellis of the

10 single-parity check code as follows (where $\oplus$ denotes addition modulo 2):

initialization of state metrics: $a_0(0) = 1$, $a_0(1) = 0$; $\beta_k(0) = 1$, $\beta_k(1) = 0$;

forward recursion: For $i = 1, ..., k-1$ and $x = 0, 1$

15
$$a_i(x) = a_{i-1}(0)q_{m,i}(x) + a_{i-1}(1)q_{m,i}(x \oplus 1) \; ; \qquad (7)$$

backward recursion: For $i = (k-1), ..., 1$ and $x = 0, 1$

$$\beta_i(0) = \beta_{i+1}(0)q_{m,i+1}(x) + \beta_{i+1}(1)q_{m,i+1}(x \oplus 1) \; ;$$

combining recursion: For $i = 1, ..., k$ and $x = 0, 1$
20
$$r_{m,i}(x) = a_{i-1}(0)\beta_i(x) + a_{i-1}(1)\beta_i(x \oplus 1) \quad .$$

In the LLR domain, let $\delta A_i \triangleq \ln \frac{a_i(1)}{a_i(0)}$ and $\delta B_i \triangleq \ln \frac{\beta_i(0)}{\beta_i(1)}$. Note that the LLRs δA_i and δB_i can be viewed as the forward and backward difference metrics in the log domain. The application

25 of a difference-metric approach to the dual-max detector for partial-response class IV channels is described in <u>ÖLCER, S., and UNGERBOECK, G.: 'Reed-Muller coding for partial response channels'. 1993 IEEE Int. Symp. on Information Theory, San Antonio, TX (IEEE, Piscataway, 1992), p. 243</u> . Consider the two-state parity-check trellis using the difference of state metrics, i.e., the difference of logarithms, which is merely the LLR of the

30 probabilities. Consider also the following LLRs $\lambda_{m,i} \triangleq \ln \frac{q_{m,i}(1)}{q_{m,i}(0)}$ and $\Lambda_{m,i} \triangleq \ln \frac{r_{m,i}(1)}{r_{m,i}(0)}$. Using the

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CH9-2000-0087

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above definitions, the standard approximation $\ln \sum_j \exp a_j \approx \max_j a_j$ and the dual-max rule described in VITERBI, A. J.: 'An intuitive justification and a simplified implementation of the MAP decoder for convolutional codes', IEEE J. Sel. Areas Commun., 1998, 16, (2), pp. 260-264, the forward recursion (7) can be rewritten as

5

$$\delta A_i = \ln \frac{a_{i-1}(0)q_{m,i}(1)+a_{i-1}(1)q_{m,i}(0)}{a_{i-1}(0)q_{m,i}(0)+a_{i-1}(1)q_{m,i}(1)}$$

$$= \ln\{\exp(\lambda_{m,i}) + \exp(\delta A_{i-1})\} - \ln\{1 + \exp(\lambda_{m,i} + \delta A_{i-1})\} \tag{8}$$

10

$$\approx \max\{\lambda_{m,i}, \delta A_{i-1}\} - \max\{0, \lambda_{m,i} + \delta A_{i-1}\} \tag{9}$$

$$= \begin{cases} -\text{sgn}(\delta A_{i-1})\lambda_{m,i} & \text{if } |\delta A_{i-1}| > |\lambda_{m,i}| \\ -\text{sgn}(\lambda_{m,i})\delta A_{i-1} & \text{otherwise,} \end{cases}$$

15   where sgn(.) is the sign function.

The backward and the combining recursions can be reformulated in a similar way, which results in the following LLR version of the forward-backward algorithm:

20   initialization: $\delta A_0 = \infty$ and $\delta B_k = \infty$

forward recursion: For $i = 2 \ldots k-1$

$$\delta A_i = \begin{cases} -\text{sgn}(\delta A_{i-1})\lambda_{m,i} & \text{if } |\delta A_{i-1}| > |\lambda_{m,i}| \\ -\text{sgn}(\lambda_{m,i})\delta A_{i-1} & \text{otherwise} \end{cases} \tag{10}$$

25

backward recursion: For $i = k-1 \ldots 1$

$$\delta B_i = \begin{cases} -\text{sgn}(\delta B_{i+1})\lambda_{m,i+1} & \text{if } |\delta B_{i+1}| > |\lambda_{m,i+1}| \\ -\text{sgn}(\lambda_{m,i+1})\delta B_{i+1} & \text{otherwise} \end{cases} \tag{11}$$

08-01-2001

CH9-2000-0087

combining recursion   For $i = 1 \dots k$

$$\Lambda_i = \begin{cases} -\text{sgn}(\delta A_{i-1})\delta_i, & \text{if} \quad |\delta A_{i-1}| > |\delta B_i| \\ -\text{sgn}(\delta B_i)\delta A_{i-1} & \text{otherwise} \end{cases} \tag{12}$$

5   Correction Factor for the Dual-Max Approximation

The simplified SPA that results from using Equations (10) to (12) for the check node updates will be called the LLR-SPA because it operates entirely in the LLR domain. The LLR-SPA has a slightly lower performance than the full SPA. Following the aforementioned Viterbi reference, together with GROSS, W. J., and GULAK, P. G.: 'Simplified MAP algorithm suitable for implementation of turbo decoders', Electron. Lett., 1998, 34, (16), pp. 1577-1578, a correction factor can be applied to improve the dual-max approximation from Equations (8) and (9). Using the identity

$$\ln\{\exp(x) + \exp(y)\} - \max\{x, y\} = \ln\{1 + \exp(-|x - y|)\},$$

it can be shown that the approximation error, i.e., (8) minus (9), is given by the bivariate function

$$f(u, v) = \ln \frac{1 + \exp(-|u - v|)}{1 + \exp(-|u + v|)},$$

where $u = \delta A_{i-1}$ and $v = \lambda_{m,i}$. In practice, $f(u,v)$ can be approximated by using a single correction factor c, i.e.,

$$f(u, v) \approx \begin{cases} c & \text{if } |u + v| > 2|u - v| \text{ and } |u + v| < 2 \\ -c & \text{if } |u - v| > 2|u + v| \text{ and } |u + v| < 2 \\ 0 & \text{otherwise}. \end{cases}$$

A similar correction factor applies to the approximations in the backward and combining recursions. The constant c can be selected to maximize the performance gains in the region of interest with respect to bit-error rate or signal-to-noise ratio. Figure 6 shows the performance

CH9-2000-0087

of the *LLR-SPA with correction factor* $c = 0.5$ for an additive white Gaussian noise channel using the same rate-1/2 LDPC code with $N = 04$ as in the aforementioned Fossorier reference. For comparison, the performance of the full SPA and LLR-SPA is also shown. The number of iterations for the two sets of curves shown is at most 10 and 200, respectively. It

5 can be seen that *LLR-SPA with correction factor* performs within less than 0.05 dB of the full SPA.

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18   CH9-2000-0087

CLAIMS

1. A method for multilevel data communication comprising:

dividing a set of information bits to be transmitted into a first group and a second group;

5 encoding the first group to generate a block code;

selecting a subset of symbols in a constellation of symbols in dependence on the block code according to a Gray-coded mapping function;

selecting a symbol within the subset in dependence on the second group according to a Gray-coded mapping function; and,

10 transmitting the selected symbol..

2. A method as claimed in claim 1, wherein the encoding comprises LDPC coding the first group to generate an LDPC code.

15 3. A method as claimed in claim 1, wherein the encoding comprises array coding the first group to generate an array code.

4. A method as claimed in any preceding claim, wherein the first group comprises least significant bits of the set of information bits and the second group comprises most significant

20 bits of the set of information bits.

5. A method as claimed in any claim preceding claim 4, wherein the first group comprises most significant bits of the set of information bits and the second group comprises least significant bits of the set of information bits.

25

6. A method as claimed in any preceding claim, further comprising receiving the selected symbol and recovering the set of information bits from the selected symbol.

7. A method as claimed in claim 6 wherein the recovering of the set of information bits

30 comprises soft demapping the received symbol to generate a probability for each of the bits represented in the symbol to have a particular value and decoding the received symbol to recover the set of information bits in dependence on the probabilities generated by the soft demapping and the received symbol.

SPEC

19

CH9-2000-0087

8. Apparatus for multilevel data communication, the apparatus comprising:

a divider for dividing a set of information bits to be transmitted into a first group and a second group;

5   a block encoder connected to the divider for encoding the first group to generate a block code; and,

a symbol mapper connected to the divider and the block encoder for selecting a subset of symbols in a constellation of symbols in dependence on the block code according to a Gray-coded mapping function, selecting a symbol within the subset in dependence on the

10 second group according to a Gray-coded mapping function, and transmitting the selected symbol.

9. Apparatus as claimed in claim 8, wherein the encoder comprises an LDPC encoder for coding the first group to generate an LDPC code.

15

10. A method as claimed in claim 8, wherein the encoding comprises array coding the first group to generate an array code.

11. Apparatus as claimed in any of claims 8 to 10, wherein the first group comprises least

20 significant bits of the set of information bits and the second group comprises most significant bits of the set of information bits.

12.   Apparatus as claimed in any of claims 8 to 10, wherein the first group comprises most significant bits of the set of information bits and the second group comprises least

25 significant bits of the set of information bits.

13.   Apparatus as claimed in any of claims 8 to 12, further comprising a receiver for receiving the selected symbol and recovering the set of information bits from the selected symbol.

30

14.   Apparatus as claimed in claim 13 wherein the receiver comprises: a soft demapper for demapping the received symbol to generate a probability for each of the bits represented in the symbol to have a particular value and a decoder for decoding the received symbol to

20   CH9-2000-0087

recover the set of information bits in dependence on the probabilities generated by the soft demapping and the received symbol.

15.   A communications device comprising an information source for generating a set of information bits and apparatus for multilevel data transmission as claimed in any of claims 8 to 14 connected to the information source for transmitting the set of the information bits.

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21

CH9-2000-0087

ABSTRACT

BLOCK CODING FOR MULTILEVEL DATA COMMUNICATION

5   A method for multilevel data communication comprises dividing a set of information bits to
be transmitted into a first group and a second group; encoding the first group to generate a
block code, selecting a subset of symbols in a constellation of symbols in dependence on the
block code according to a Gray-coded mapping function, selecting a symbol within the subset
in dependence on the second group according to a Gray-coded mapping function, and

10 transmitting the selected symbol..

08-01-2001

CH9-2000-0087

1/3
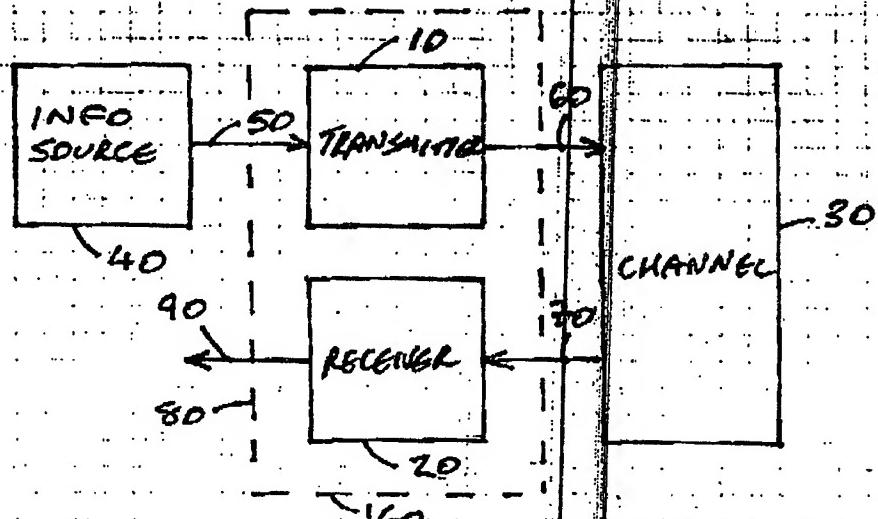
FIGURE 1
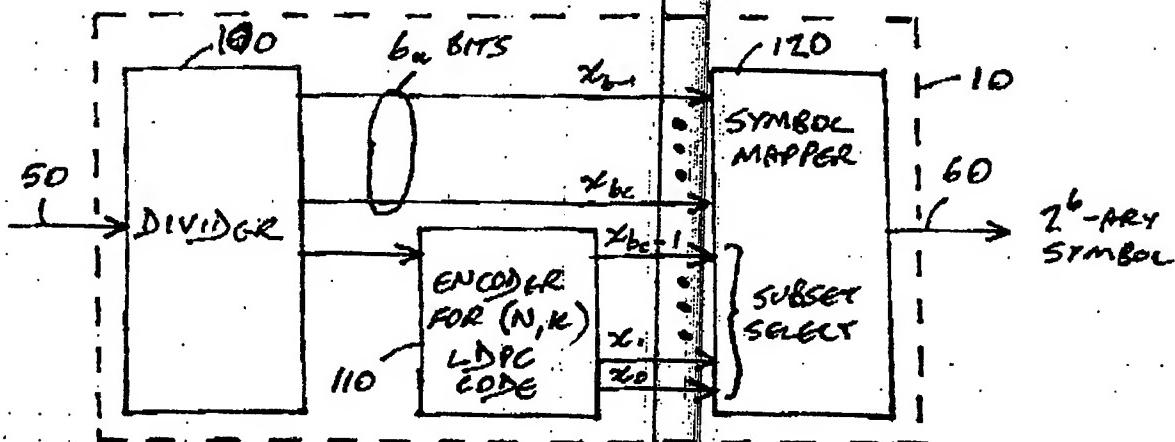

FIGURE 2
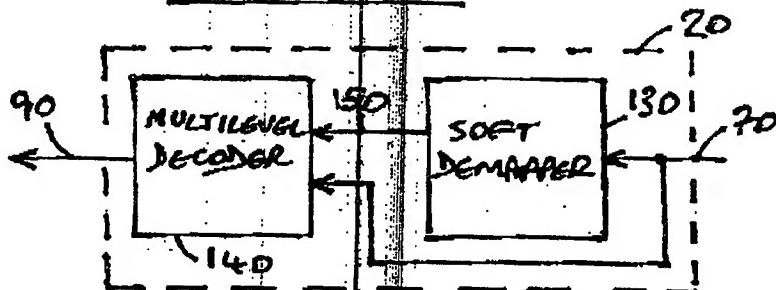
FIGURE 3

CH9-2000-0087

2/3
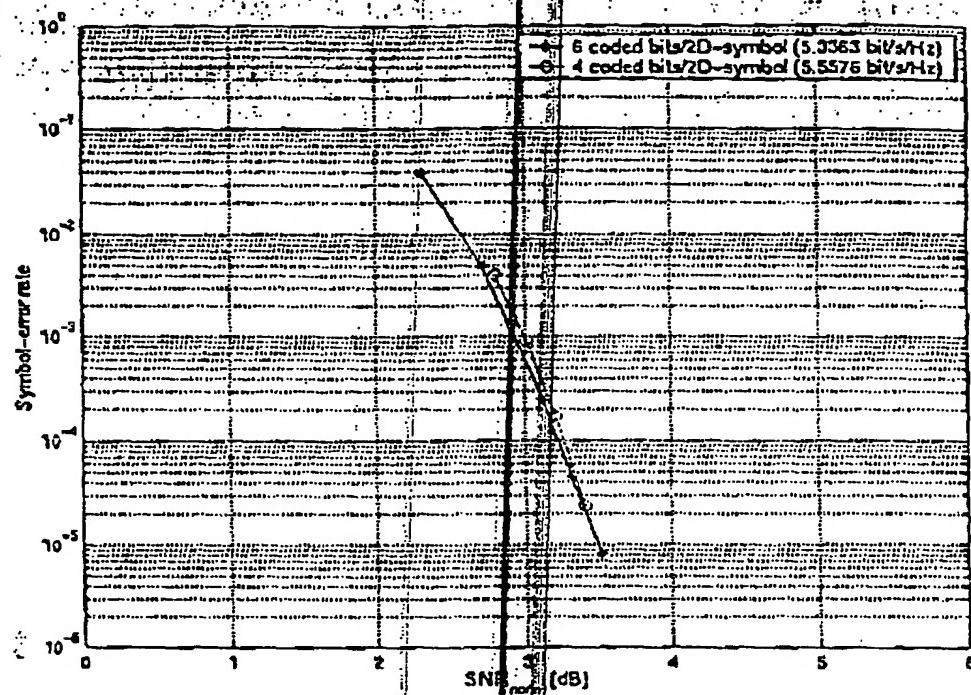
FIGURE 4

FIGURE 5

CH9-2000-0087

3/3

FIGURE 6